

PRIVACY POLICY

SEC Regulation S-P requires us to provide a statement to you regarding the use of your non-public financial information. At Tor Brokerage LLC, we understand that privacy is an important issue for our customers. It is our policy to respect the privacy of all of our clients and customers and to protect the security and confidentiality of non-public personal information relating to those clients and customers. Please note that this policy applies to former customers as well as current customers.

Personal Information Collected

The information collected by Tor Brokerage LLC may come from the following sources:

- **Information received from you, such as your name, address, telephone number, social security number, occupation and income.**
- **Information relating to your transactions, including account balances, positions and activity.**
- **Information which may be received from consumer reporting agencies, such as credit bureau and other information relating to your creditworthiness.**
- **Information which may be received from other sources with your consent or with the consent of your clearing broker.**

Sharing of Nonpublic Information

Tor Brokerage LLC does not disclose non-public personal information relating to current or former customers to any third parties, except as required or permitted by law and in order to facilitate the clearing of customer transactions in the ordinary course of business. We do not make any disclosures of information to other companies who may want to sell their products or services to you.

Security

Tor Brokerage LLC strives to insure that our systems are secure and that they meet industry standards. We protect personal information that is provided to Tor Brokerage LLC by you by maintaining physical, electronic and procedural safeguards that either meet or exceed applicable law. Third parties who have access to such personal information must also agree to follow appropriate standards of security and confidentiality.

Opt-out Policy

If you prefer that we do not share your information (other than as prescribed by law) with non-affiliated third parties, including the registered representative servicing your account when or if he or she leaves to join another broker/dealer, you may contact our Compliance Department at 201-941-0002.